### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96134

Koji KUBO, et al.

Appln. No.: 10/587,163

Group Art Unit: 1752

Confirmation No.: 4858

Examiner: not yet assigned

Filed: July 26, 2006

For: LAMINATED FILM FOR DYE-SENSITIZED SOLAR CELL AND ELECTRODE FOR

DYE-SENSITIZED SOLAR CELL, AND PROCESS FOR THEIR PRODUCTON

### SUBMISSION OF IPER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for the Examiners consideration is a copy of the IPER in the corresponding PCT application.

Respectfully submitted,

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CUSTOMER NUMBER

Date: April 2, 2008

Peter D. Olexy

Registration No. 24,513

## PATENT COOPERATION TREATY

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То		•		-			PCT PCT
,		•				W INTERNAT	RITTEN OPINION OF THE FIONAL SEARCHING AUTHORITY
	7						(PCT Rule 43bis.1)
		-				Date of mailing	
App	licant's o	r agent's file refer	ence		_	(day/month/year)	
TI	0-54:	1				FOR FURTHER	
		application No.		International filing da	te (	duy/month/year)	See paragraph 2 below
		2005/00:		28.01.200	5		Priority date (day/month/year) 30.01.2004
Inter	national l	Patent Classificati	on (IPC) or both	national classification	and	IPC	
Appli	icant					· · · · · · · · · · · · · · · · · · ·	
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I.	This	opinion contains i	ndications relati	ng to the following iter	ns:		3
		Box No. I	Basis of the o	pinion			
		Box No. II	Priority				
	Ш	Box No. III	Non-establish	ment of opinion with r	e gar	d to novelty inventiv	e step and industrial applicability
		Box No. IV	Lack of unity	of invention	·	and the same of th	e step and moustrial applicability
	$\boxtimes$	Box No. V	Reasoned state		s. 1(a	a)(i) with regard to no	velty, inventive step or industrial
	$\boxtimes$	Box No. VI	Certain docum		J113 (	supporting such states	nent
		Box No. VII		s in the international ap	mlia	entio-	
		Box No. VIII		ations on the internatio			
2.	FUDT	HED ACTION					
	If a de Interna than th	is one to be the II	PEA and the cho	ary examination is notherity ("IPEA") exceptions in IPEA has notified all not be so considered	1 41	this opinion will t at this does not apply International Bureau	be considered to be a written opinion of the where the applicant chooses an Authority other under Rule 66.1bis(b) that written opinions of
	If this written	opinion is, as pro reply together, v	vided above, con	neidered to be a second	n oj	oinion of the IPEA, the ore the expiration of the value whichever are	he applicant is invited to submit to the IPEA a 3 months from the date of mailing of Form
		her options, see F				, and, wherever exp	ores rater.
3.	For furt	her details, see no	tes to Form PCT	7/ISA/220.			÷
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International application No.

PCT/JP2005/001641

Bo	x No. I	Basis of this opinion	·	
1.	With filed	regard to the language, this of unless otherwise indicated und	pinion has been established on the basis of the international application in the language in whic der this item.	h it was
		This opinion has been establish	shed on the basis of a translation from the original language into the following language, which is the language of a translation furnished for the purposes of international search	Lunder
	-	Rule 12.3 and 23.1(b)).	the purposes of management and the purposes of mentalional section	(under
2,		regard to any nucleotide and ntion, this opinion has been esta	nd/or amino acid sequence disclosed in the international application and necessary to the ablished on the basis of:	claimed
	a.	type of material		
		a sequence listing		
		table(s) related to the seq	quence listing	
	b.	format of material	<i>,</i>	
		in written format		
		in computer readable for	rm ·	
	c.	time of filing/furnishing		
		contained in the internati	tional application as filed.	
		filed together with the int	nternational application in computer readable form.	
		furnished subsequently to	to this Authority for the purposes of search.	
3.		furnished, the required stateme	more than one version or copy of a sequence listing and/or table(s) relating thereto has been a sents that the information in the subsequent or additional copies is identical to that in the applicate application as filed, as appropriate, were furnished.	filed or ation as
4.	Addit	ional comments:		
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Во	ox No. IV Lack of unity of invention	
1.	In response to the invitation (Form PCT/ISA/206) to pay addition	nal fees the applicant has:
	paid additional fees	
	paid additional fees under protest	
	not paid additional fees	
2.	This Authority found that the requirement of unity of invention additional fees.	n is not complied with and chose not to invite the applicant to pay
3.	This Authority considers that the requirement of unity of invention in a	accordance with Rules 13.1, 13.2 and 13.3 is
	complied with  not complied with for the following reasons:	
	<del>_</del>	
	See the supplemental sheet.	
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4.	Consequently, this opinion has been established in respect of the follow	ing parts of the international application:
	all parts	a de la companya de
	the parts relating to claims Nos.	

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Box			ale 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; poorting such statement	
1.	Statement			
9	Novelty (N)	Claims	1-13	YES
		Claims		NO.
	Inventive step (IS)	Claims	1-13	YES
		Claims		NO
	Industrial applicability (IA)	Claims	1-13	YES
		Claims		NO

#### 2. Citations and explanations:

[List of documents] All of the following documents are cited in the ISR.

Document 1: JP, 11-288745, A (Nikon Corp.), 19 October, 1999 (19.10.99), claims 1-7 and examples (Family: none)

Document 2: JP, 2002-50413, A (Japan Gore-Tex Inc.), 15 February, 2002 (15.02.02), claims 1-7 and paragraph [0016] (Family: none)

Document 3: JP, 57-57628, A (Teijin Ltd.), 6 April, 1982 (06.04.82), full description (Family: none)

Document 4: JP, 1-275031, A (Teijin Ltd.), 2 November, 1989 (02.11.89), full description (Family: none)

Document 5: JP, 1-259184, A (Gunze Ltd.), 16 October, 1989 (16.10.89), claims 1-8 and detailed explanation of the invention as a whole (Family: none)

Document 6: JP, 8-148036, A (Nitto Denko Corp.), 7 June, 1996 (07.06.96), claims 1-5 (Family: none)

Document 7: JP, 2000-31509, A (Dainippon Printing Co., Ltd.), 28 January, 2000 (28.01.00), claims 1-3 and example 1 (Family: none)

Document 8: JP, 2002-145615, A (Japan Science and Technology Corp.), 22 May, 2002 (22.05.02), claims 1-3 and examples (Family: none)

Document 9: JP, 6-207036, A (Toyobo Co., Ltd.)26 July, 1994 (26.07.94), claim 1, and paragraphs [0021] and [0023] (Family: none)

### [Explanation]

Novelty and inventive steps of claims 1-10 are not denied in view of any description in aforementioned documents 1-9. Regarding a transparent conductive film to be an electrode substrate of a dye-sensitized solar cell, it is not described nor suggested in any of documents that a polyester film having a specified surface tension should be used, and an effect of employment of the said specified matter, which is described in the description, is not described nor suggested in any of the said documents.

Novelty and inventive steps of claims 11-13 are not denied in view of any description in

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aforementioned documents 1-9. In any of documents, regarding a transparent conductive film to be an electrode substrate of a dye-sensitized solar cell, it is not described nor suggested that a polyester film having a particular thermal shrinkage condition should be used, and an effect of employment of the said specified matter, which is described in the description, is not described nor suggested.							
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Box No. VI Certain documents cited	,						
I. Certain published documents (Rule 43bis.1 and 70.10)							
Application No. Patent No.	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)				
JP 2004-265662 A [E, A]	24.09.2004	28.02.2003					
JP 2005-56627 A [E, A]	03.03.2005	31.07.2003					
JP 2004-9362 A [E, A]	15.01.2004	04.06.2002					

Non-wr	itten disclosures (Rule 43	bis.1 and 70.9)			
	Kind of non-written of	lisclosure	Date of non-written d (day/month/yea	referring to non	tten disclosure -written disclosure onth/year)
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Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

IV

In order for a group of inventions claimed in claims to satisfy the requirement of unity of invention, there must be special technical features for linking the group of inventions so as to form a single general inventive concept. In this connection, the inventions of two independent claims consisting of claim 1 and 11 recited in independent form and claims dependent there from are linked with each other only in relating to a "dye-sensitized solar cell comprising a polyester film and, superimposed on one major surface thereof, a transparent conductive layer." However, it is apparent that the dye-sensitized solar cell per se cannot be a special technical feature.

Furthermore, the relationship between the film (substance) of claim 1 and the production process of claim 11 will be studied. Even after thorough review of the whole description, although the production process of claim 11 is for obtaining of an electrode for dye-sensitized solar cell excelling in adhesion between transparent conductive layer and porous semiconductor layer (seen from what is described on page 10 of the description, etc.), it does not appear that the production process is for satisfying of specified conditions as a surface tension of transparent conductive layer that is specified in claim 1 (as treatment for attaining specified surface tension conditions, plasma treatment and some other means are explicitly described on page 7 of the description). From the above study, it does not appear that the invention of "production process" claimed in claim 11 is a process for producing the "substance" according to the invention of claim 1.

Consequently, among the group of inventions claimed in claims 1-11, there exist no special technical features for linking them so as to form a single general inventive concept. Therefore, it is apparent that the group of inventions claimed in claims 1-13 do not satisfy the requirement of unity of invention.

In summing up, without the need to study claims recited in dependent form, it appears that claims 1-13 cover at least two inventions.

One of possible ways to satisfy unity is clarifying that the invention of claim 11 shares a specified matter of claim 1.

#### **Documents**

- 1. JP, 11-288745, A (Nikon Corp.), 19 October, 1999 (19.10.99)
- 2. JP, 2002-50413, A (Japan Gore-Tex Inc.), 15 February, 2002 (15.02.02)
- 3. WO, 2002-052654, A1 (Kabushiki Kaisha Hayashibara Seibutsu Kagaku Kenkyujo), 4 July, 2002 (04.07.02)
  - 4.JP, 2002-280327, A (Lintec Corp.), 27 September, 2002 (27.09.02)
  - 5. JP, 2003-68373, A (Aisin Seiki Co., Ltd.), 7 March, 2003
  - 6. JP, 2003-234028, A (Konica Corp.), 22 August, 2003 (22.08.03)
  - 7. JP, 2003-308893, A (Bridgestone Corp.), 31 October, 2003 (31.10.03)